

2015 – 2016 Sexual Abuse Annual Report

NC Department Of Public Safety



February 27, 2018



Introduction

The Prison Rape Elimination Act of 2003 (PREA) was enacted by Congress to address the problem of sexual abuse and sexual harassment of persons in the custody of U.S. correctional agencies. The Act applies to all public and private institutions that house adult or juvenile offenders and is also relevant to community-based agencies. The PREA national standards were promulgated in August 2012. These standards established nationwide consistency in practices covering the areas of detection, prevention, reduction, and punishment of prison rape in confinement facilities.

In January 2007, the former North Carolina Department of Correction established the PREA Office. Charged with developing a program of prevention, detection, response, and tracking allegations of sexual abuse and sexual harassment within prisons, the Office began intensive efforts toward implementing a response to the Prison Rape Elimination Act and strengthening a culture of zero-tolerance. In October 2009, the former North Carolina Department of Juvenile Justice and Delinquency Prevention (NCDJJD) established a PREA Coordinator, and began its own efforts toward response within its juvenile justice facilities. In January 2011, the former DOC and NCDJJD were consolidated into the NCDPS, resulting in an agency responsible for the safety and supervision of over 157,000 juveniles, inmates, and offenders on probation and/or parole. This consolidation unified the PREA Offices and strengthened its efforts toward PREA compliance. In the subsequent years, the NCDPS has proudly developed a robust PREA program, focused on the goal of eliminating sexual abuse and sexual harassment within confinement.

This report summarizes NCDPS's work during *calendar years 2015 and 2016*.

History In Review



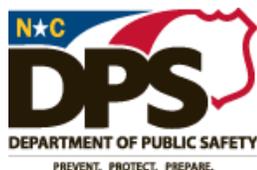
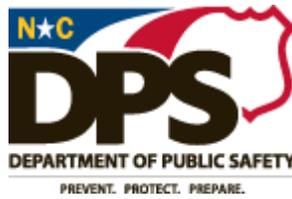


Table of Contents

Introduction.....	1
History In Review.....	2
Overview: North Carolina Department of Public Safety.....	4
Division of Adult Correction and Juvenile Justice.....	4
Adult Correction.....	4
Juvenile Justice.....	4
Comparative Data.....	5
2016: The Department’s Year in Review.....	5
2016 Adult Correction Facilities: Substantiated Sexual Abuse Cases with Corrective Actions.....	6
2016 Adult Facilities with No Substantiated Cases of Sexual Abuse.....	11
2016 Juvenile Justice Facilities: Substantiated Sexual Abuse Cases with Corrective Actions.....	11
2016 Juvenile Justice Facilities with No Substantiated Cases of Sexual Abuse.....	11
2015: The Department’s Year in Review.....	12
2015 Adult Correction Facilities: Substantiated Sexual Abuse Cases with Corrective Actions.....	13
2015 Adult Facilities with No Substantiated Cases of Sexual Abuse.....	17
2015 Juvenile Justice Facilities: Substantiated Sexual Abuse Cases with Corrective Actions.....	17
2015 Juvenile Justice Facilities with No Substantiated Cases of Sexual Abuse.....	18
2014: The Department’s Year in Review.....	19
2014 Adult Correction Facilities: Substantiated Sexual Abuse Cases With Corrective Actions.....	20
2014 Juvenile Justice Facilities: Substantiated Sexual Abuse Cases With Corrective Actions.....	23
Adult Correction: Comparison of Substantiated Sexual Abuse and Sexual Harassment Cases.....	24
Juvenile Justice: Comparison of Substantiated Sexual Abuse and Sexual Harassment Cases.....	25
Audit Findings.....	26
Conclusion.....	28
Table of Tables.....	29
Table of Figures.....	29
Agency Information.....	30



Overview: North Carolina Department of Public Safety

The overall mission of the Department of Public Safety is to improve the quality of life for North Carolinians by reducing crime and enhancing public safety. The Department focuses citizen and legislative attention on law enforcement and public safety issues.

The Department's scope includes the State's law enforcement, corrections and emergency management.

Division of Adult Correction and Juvenile Justice

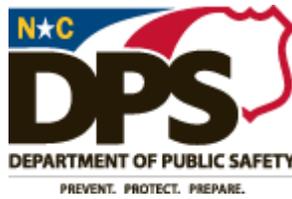
The Division of Adult Correction and Juvenile Justice is responsible for the care, custody, and supervision of all adults and juveniles sentenced after conviction for violations or adjudicated for delinquent acts under the North Carolina law.

Adult Correction

Adult offenders, to include youthful inmates, face sentences ranging from probation served in the community to active prison sentences served in one of the state's prison facilities. After prison, there is a period of post-release supervision for offenders to help them transition more successfully back to the community. For those who commit technical violations of probation, there are Confinement in Response to Violation (CRV) facilities.

Juvenile Justice

Juvenile dispositions can include supervision by a juvenile court counselor in the community, short-term commitments at a residential facility or detention center, or longer-term commitments in a youth development center for serious and/or violent or chronic offenders. Delinquent, non-adjudicated, and at-risk juveniles may all receive services from programs in their communities.



Comparative Data

2016: The Department's Year in Review

During the 2016 calendar year, the NCDPS received a total of **839** inmate and juvenile sexual abuse and sexual harassment allegations. The following table outlines the total number of reported incidents and the outcome of the investigations in 2016.

2016					
Incident Type	Substantiated	Unsubstantiated	Unfounded	Ongoing	Total
Inmate on Inmate Abusive Sexual Contact	13	115	22	1	151
Inmate on Inmate Nonconsensual Sexual Acts	2	23	13	0	38
Inmate on Inmate Sexual Harassment	23	141	20	0	184
Staff on Inmate Sexual Misconduct	26	164	127	1	318
Staff on Inmate Sexual Harassment	6	60	63	0	129
Juvenile on Juvenile Abusive Sexual Contact	0	5	1	0	6
Juvenile on Juvenile Nonconsensual Sexual Act	0	0	0	0	0
Juvenile on Juvenile Sexual Harassment	0	7	2	0	9
Staff on Juvenile Sexual Misconduct	0	2	2	0	4
Staff on Juvenile Sexual Harassment	0	0	0	0	0
Total Allegations Received					839

Table 1: 2016 Incident Reports and Outcomes as of 02/17/2018



2016 Adult Correction Facilities: Substantiated Sexual Abuse Cases with Corrective Actions

Facility	# Substantiated Cases	Corrective Action(s)
Albemarle CI	1	<ol style="list-style-type: none"> 1. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. 2. Transferred to another facility 3. Staff resigned (after investigation was completed)
Alexander CI	4	<ol style="list-style-type: none"> 1. Required all facility staff to complete <i>Staff and Offender Relationship</i> and/or <i>Sexual Abuse and Sexual Harassment</i> training. 2. Distributed first responder cards to all staff. 3. Discussed PREA related topics in shift line-ups. 4. Made changes to bed, job and/or program assignments.
Avery Mitchell CI	1	<ol style="list-style-type: none"> 1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Required all facility staff to complete <i>Staff and Offender Relationship</i> and/or <i>Sexual Abuse and Sexual Harassment</i> training. 3. Distributed PREA posters throughout the facility. 4. Distributed information on ways to report for population. 5. Discussed PREA related topics in shift line-ups. 6. Placed in restrictive housing. 7. Transferred to another facility. 8. Loss of privileges, disciplinary report or conduct violation, or other reprimand.
Bertie CI	1	<ol style="list-style-type: none"> 1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Made upgrades to technology (such as mirrors, motion lights, or cameras) in the area in which the incident occurred. 3. Made changes to the number and placement of supervisory staff in the area in which the incident occurred. 4. Distributed PREA posters throughout the facility. 5. Distributed information on ways to report for population. 6. Discussed PREA related topics in shift line-ups. 7. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. 8. Arrested or referred to law enforcement agency. 9. Staff resigned (prior to completion of investigation).
Caledonia CI	1	<ol style="list-style-type: none"> 1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Required all facility staff to complete <i>Staff and Offender Relationship</i> and/or <i>Sexual Abuse and Sexual Harassment</i> training. 3. Distributed PREA posters throughout the facility. 4. Made changes to bed, job and/or program assignments. 5. Distributed information on ways to report for population. 6. Distributed first responder cards to all staff.



Facility	# Substantiated Cases	Corrective Action(s)
		<ul style="list-style-type: none"> 7. Discussed PREA related topics in shift line-ups. 8. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. 9. Placed in restrictive housing. 10. Transferred to other unit/cell or separated from victim. 11. Transferred to another facility. 12. Referred for prosecution or indicted. 13. Arrested or referred to law enforcement agency. 14. Staff resigned (prior to completion of investigation).
Carteret CC	1	<ul style="list-style-type: none"> 1. Made changes to the number and placement of supervisory staff in the area in which the incident occurred. 2. Reassess the appropriateness of certain staff in PREA-related roles. 3. Distributed PREA posters throughout the facility. 4. Distributed information on ways to report for population. 5. Distributed first responder cards to all staff. 6. Discussed PREA related topics in shift line-ups. 7. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. 8. Discharged, terminated, or contract not renewed.
Central Prison	2	<ul style="list-style-type: none"> 1. Distributed first responder cards to all staff. 2. Discussed PREA related topics in shift line-ups. 3. Loss of privileges, disciplinary report or conduct violation, or other reprimand. 4. Staff resigned (prior to completion of investigation).
Eastern CI	1	<ul style="list-style-type: none"> 1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Discussed PREA related topics in shift line-ups. 3. Conducted a sexual safety assessment. 4. Arrested or referred to law enforcement agency 5. Staff resigned (prior to completion of investigation).
Franklin CC	1	<ul style="list-style-type: none"> 1. Discussed PREA related topics in shift line-ups. 2. Arrested or referred to law enforcement agency. 3. Staff resigned (prior to completion of investigation).
Hoke CI	1	<ul style="list-style-type: none"> 1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Distributed PREA posters throughout the facility. 3. Distributed information on ways to report for population. 4. Discussed PREA related topics in shift line-ups. 5. Conducted a sexual safety assessment. 6. Transferred to another facility or unit. 7. Arrested or referred to law enforcement agency. 8. Staff resigned (prior to completion of investigation).
Hyde CI	3	<ul style="list-style-type: none"> 1. Distributed PREA posters throughout the facility. 2. Distributed information on ways to report for population.



Facility	# Substantiated Cases	Corrective Action(s)
		<ol style="list-style-type: none"> 3. Discussed PREA related topics in shift line-ups. 4. Conducted a sexual safety assessment. 5. Placed in restrictive housing. 6. Transferred to other unit/cell or separated from victim. 7. Transferred to another facility. 8. Referred for prosecution or indicted. 9. Staff resigned (prior to completion of investigation).
Lanesboro CI	1	<ol style="list-style-type: none"> 1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Distributed PREA posters throughout the facility. 3. Distributed information on ways to report for population. 4. Distributed first responder cards to all staff. 5. Discussed PREA related topics in shift line-ups. 6. Transferred to another facility. 7. Referred for prosecution or indicted. 8. Arrested or referred to law enforcement agency. 9. Staff resigned (prior to completion of investigation).
Marion CI	1	<ol style="list-style-type: none"> 1. Made changes to the number and placement of supervisory staff in the area in which the incident occurred. 2. Implemented additional routine or unannounced rounds in an effort to 3. Distributed PREA posters throughout the facility. 4. Transferred to another facility or unit. 5. Arrested or referred to law enforcement agency. 6. Staff resigned (prior to completion of investigation).
Maury CI	5	<ol style="list-style-type: none"> 1. Required all facility staff to complete <i>Staff and Offender Relationship</i> and/or <i>Sexual Abuse and Sexual Harassment</i> training. 2. Distributed PREA posters throughout the facility. 3. Made changes to bed, job and/or program assignments. 4. Distributed information on ways to report for population. 5. Distributed first responder cards to all staff. 6. Discussed PREA related topics in shift line-ups. 7. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. 8. Placed in restrictive housing. 9. Loss of privileges, disciplinary report or conduct violation, or other reprimand. 10. Arrested or referred to law enforcement agency. 11. Staff resigned (prior to completion of investigation). 12. Staff resigned (after investigation was completed).
Mountain View CI	3	<ol style="list-style-type: none"> 1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Discussed PREA related topics in shift line-ups.



Facility	# Substantiated Cases	Corrective Action(s)
		<ol style="list-style-type: none"> 3. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. 4. Placed in restrictive housing. 5. Transferred to another facility. 6. Loss of privileges, disciplinary report or conduct violations, or other reprimand. 7. Arrested or referred to law enforcement agency. 8. Staff resigned (prior to completion of investigation).
New Hanover CC	2	<ol style="list-style-type: none"> 1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Made upgrades to technology (such as mirrors, motion lights, or cameras), in the area in which the incident occurred. 3. Distributed PREA posters throughout the facility. 4. Distributed information on ways to report for population. 5. Distributed first responder cards to all staff. 6. Discussed PREA related topics in shift line-ups. 7. Made revisions to facility policies or procedures. 8. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. 9. Conducted a sexual safety assessment. 10. Transferred to another facility or unit. 11. Staff resigned (prior to completion of investigation).
North Carolina Correctional Institution for Women	5	<ol style="list-style-type: none"> 1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Made upgrades to technology (such as mirrors, motion lights, or cameras) in the area in which the incident occurred. 3. Required all facility staff to complete <i>Staff and Offender Relationship and/or Sexual Abuse and Sexual Harassment</i> training. 4. Distributed PREA posters throughout the facility. 5. Made changes to bed, job and/or program assignments. 6. Distributed information on ways to report for population. 7. Distributed first responder cards to all staff. 8. Discussed PREA related topics in shift line-ups. 9. Conducted town hall meetings with population to discuss prevention. 10. Made revision to facility policies or procedures. 11. Made changes to medical and/or mental health protocol or responses. 12. Transferred to other unit/cell or separated from victim. 13. Transferred to another facility or unit. 14. Referred for prosecution or indicted. 15. Arrested or referred to law enforcement agency. 16. Staff resigned (prior to completion of investigation).
Pasquotank CI	1	<ol style="list-style-type: none"> 1. Discussed PREA related topics in shift line-ups. 2. Arrested or referred to law enforcement agency.



Facility	# Substantiated Cases	Corrective Action(s)
Piedmont CI	1	3. Staff resigned (prior to completion of investigation). 1. Distributed PREA posters throughout the facility. 2. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. 3. Placed in restrictive housing. 4. Transferred to another facility. 5. Arrested or referred to law enforcement agency.
Randolph CC	1	1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Discussed PREA related topics in shift line-ups. 3. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. 4. Arrested or referred to law enforcement agency. 5. Staff resigned (after investigation was completed).
Sampson CI	1	1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Discussed PREA related topics in shift line-ups. 3. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. 4. Arrested or referred to law enforcement agency. 5. Staff resigned (after investigation was completed).
Swannanoa CCFW	3	1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Made upgrades to technology (such as mirrors, motion lights, or cameras) in the area in which the incident occurred. 3. Reassessed the appropriateness of certain staff in PREA-related roles. 4. Required all facility staff to complete <i>Staff and Offender Relationship and/or Sexual Abuse and Sexual Harassment</i> training. 5. Required the population to complete additional education. 6. Distributed PREA posters throughout the facility. 7. Made changes to bed, job and/or program assignments. 8. Distributed information on ways to report for population. 9. Distributed first responder cards to all staff. 10. Discussed PREA related topics in shift line-ups. 11. Made changes to medical and/or mental health protocols or responses. 12. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. 13. Conducted a sexual safety assessment. 14. Transferred to another facility or unit. 15. Arrested or referred to law enforcement agency. 16. Discharged, terminated, or contact not renewed

Table 2: 2016 Adult Correction Facilities with Substantiated Cases and Corrective Actions as of 02/17/2018



2016 Adult Facilities with No Substantiated Cases of Sexual Abuse

Caldwell CC	Greene CI	Pender CI
Caswell CC	Harnett CI	Polk CI
Catawba CC	Johnston CI	Rutherford CC
Columbus CI	Lincoln CC	Sanford CC
Craggy CC	Lumberton CI	Scotland CI
Craven CI	Morrison CI	Southern CI
Dan River PWF	Nash CI	Tabor CI
Davidson CC	Neuse CI	Tyrrell PWF
Foothills CI	Odom CI	Wake CC
Forsyth CC	Orange CC	Warren CI
Gaston CC	Pamlico CI	Wilkes CC

Table 3: 2016 Adult Correction Facilities with No Substantiated Cases of Sexual Abuse as of 02/17/2018

2016 Juvenile Justice Facilities: Substantiated Sexual Abuse Cases with Corrective Actions

Facility	# Substantiated Cases	Corrective Action(s)
N/A	0	

Table 4: 2016 Juvenile Justice Facilities with Substantiated Cases and Corrective Actions

2016 Juvenile Justice Facilities with No Substantiated Cases of Sexual Abuse

Alexander Regional Juvenile Detention Center	Edgecombe Regional Juvenile Detention Center	Stonewall Jackson Youth Development Center
Chatham Youth Development Center	Gaston Regional Juvenile Detention Center	Wake Juvenile Detention Center
Cumberland Regional Juvenile Detention Center	New Hanover Regional Juvenile Detention Center	
Dobbs YDC	Pitt Regional Juvenile Detention Center	

Table 5: 2016 Juvenile Justice Facilities with No Substantiated Cases of Sexual Abuse as of 02/17/2018

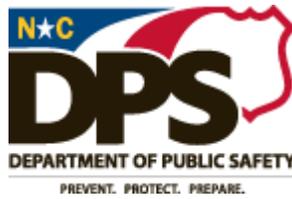


2015: The Department's Year in Review

During the 2015 calendar year, the NCDPS received a total of **653** inmate and juvenile sexual abuse and sexual harassment allegations. The following outlines the total number of incidents reported and the outcome of investigations in 2015.

2015					
Incident Type	Substantiated	Unsubstantiated	Unfounded	Ongoing	Total
Inmate on Inmate Abusive Sexual Contact	5	55	17	0	77
Inmate on Inmate Nonconsensual Sexual Acts	0	14	14	0	28
Inmate on Inmate Sexual Harassment	22	83	18	0	123
Staff on Inmate Sexual Misconduct	35	99	129	3	266
Staff on Inmate Sexual Harassment	6	58	50	2	116
Juvenile on Juvenile Abusive Sexual Contact	2	0	3	0	5
Juvenile on Juvenile Nonconsensual Sexual Act	2	3	0	0	5
Juvenile on Juvenile Sexual Harassment	4	11	12	0	27
Staff on Juvenile Sexual Misconduct	0	1	3	0	4
Staff on Juvenile Sexual Harassment	0	0	2	0	2
Total Allegations Received					653

Table 6: 2015 Incident Reports and Outcomes as of 02/17/2018



2015 Adult Correction Facilities: Substantiated Sexual Abuse Cases with Corrective Actions

Facility	# Substantiated Cases	Corrective Action(s)
Albemarle CI	4	<ol style="list-style-type: none"> Made changes to bed, job, and/or program assignments protocols. Distributed information on ways to report for population. Discussed PREA related topics in shift line-ups. Staff referred for prosecution.
Bertie CI	4	<ol style="list-style-type: none"> Implemented additional routine or unannounced rounds in an effort to prevent future incidents. Made upgrades to the physical structure of the area in which the incident occurred. Made upgrades to technology (such as mirrors, motion lights, or cameras) in the area in which the incident occurred. Made changes to the number and placement of supervisory staff in the area in which the incident occurred. Distributed PREA posters throughout the facility. Distributed information on ways to report for population. Distributed first responder cards to all staff. Discussed PREA related topics in shift line-ups. Held a facility management meeting to discuss ways to improve general sexual safety in the facility.
Central Prison	6	<ol style="list-style-type: none"> Implemented additional routine or unannounced rounds in an effort to prevent future incidents. Reassessed the appropriateness of certain staff in PREA-related roles. Required all facility staff to complete <i>Staff and Offender Relationship</i> and/or <i>Sexual Abuse and Sexual Harassment</i> training. Required the population to complete additional education. Distributed PREA posters throughout the facility. Distributed information on ways to report for population. Distributed first responder cards to all staff. Discussed PREA related topics in shift line-ups. Conducted town hall meetings with population to discuss prevention. Made revisions to facility policies or procedures. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. Conducted a sexual safety assessment.
Craven CI	2	<ol style="list-style-type: none"> Reviewed current practices, procedures and use of technology equipment.
Eastern CI	1	<ol style="list-style-type: none"> Implemented additional routine or unannounced rounds in an effort to prevent future incidents. Discussed PREA related topics in shift line-ups. Conducted a sexual safety assessment. Arrested or referred to law enforcement agency. Staff resigned (prior to completion of investigation).



Facility	# Substantiated Cases	Corrective Action(s)
Franklin CC	1	<ol style="list-style-type: none"> 1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Reassessed the appropriateness of certain staff in PREA-related roles. 3. Required all facility staff to complete <i>Staff and Offender Relationship</i> and/or <i>Sexual Abuse and Sexual Harassment</i> training. 4. Discussed PREA related topics in shift line-ups. 5. Held a facility management meeting to discuss ways to improve general sexual safety in the facility.
Harnett CI	1	<ol style="list-style-type: none"> 1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Required all facility staff to complete <i>Staff and Offender Relationship</i> and/or <i>Sexual Abuse and Sexual Harassment</i> training. 3. Distributed PREA posters throughout the facility. 4. Distributed first responder cards to all staff. 5. Discussed PREA related topics in shift line-ups.
Hyde CI	3	<ol style="list-style-type: none"> 1. Required all facility staff to complete <i>Staff and Offender Relationship</i> and/or <i>Sexual Abuse and Sexual Harassment</i> training. 2. Distributed PREA posters throughout the facility. 3. Made changes to bed, job, and/or program assignment protocols. 4. Distributed first responder cards to all staff. 5. Discussed PREA related topics in shift line-ups. 6. Made revisions to facility policies or procedures.
Lumberton CI	2	<ol style="list-style-type: none"> 1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Reassessed the appropriateness of certain staff in PREA-related roles. 3. Distributed PREA posters throughout the facility. 4. Made changes to bed, job, and/or program assignment protocols. 5. Distributed first responder cards to all staff. 6. Discussed PREA related topics in shift line-ups. 7. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. 8. Conducted a sexual safety assessment.
Maury CI	2	<ol style="list-style-type: none"> 1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Made upgrades to the physical structure of the area in which the incident occurred. 3. Made changes to the number and placement of supervisory staff in the area in which the incident occurred. 4. Required all facility staff to complete <i>Staff and Offender Relationship</i> and/or <i>Sexual Abuse and Sexual Harassment</i> training. 5. Distributed PREA posters throughout the facility. 6. Distributed information on ways to report for population. 7. Distributed first responder cards to all staff. 8. Discussed PREA related topics in shift line-ups.



Facility	# Substantiated Cases	Corrective Action(s)
		9. Held a facility management meeting to discuss ways to improve general sexual safety in the facility.
Morrison CI	1	1. Distributed PREA posters throughout the facility. 2. Discussed PREA related topics in shift line-ups. 3. Requested additional monitoring technology added to the area.
Mountain View CI	1	1. Discussed PREA related topics in shift line-ups. 2. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. 3. Conducted a sexual safety assessment.
Nash CI	1	1. Required all facility staff to complete <i>Staff and Offender Relationship</i> and/or <i>Sexual Abuse and Sexual Harassment</i> training. 2. Distributed PREA posters throughout the facility. 3. Distributed information on ways to report for population. 4. Made revisions to facility policies or procedures.
New Hanover CC	1	1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Made upgrades to technology (such as mirrors, motion lights, or cameras) in the area in which the incident occurred. 3. Made upgrades to the physical structure of the area in which the incident occurred. 4. Required all facility staff to complete <i>Staff and Offender Relationship</i> and/or <i>Sexual Abuse and Sexual Harassment</i> training. 5. Made changes to bed, job, and/or program assignment protocols. 6. Discussed PREA related topics in shift line-ups. 7. Conducted town hall meetings with population to discuss prevention. 8. Conducted a sexual safety assessment.
NC Correctional Institution for Women	3	1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Made upgrades to technology (such as mirrors, motion lights, or cameras) in the area in which the incident occurred. 3. Made changes to the number and placement of supervisory staff in the area in which the incident occurred. 4. Reassessed the appropriateness of certain staff in PREA-related roles. 5. Required all facility staff to complete <i>Staff and Offender Relationship</i> and/or <i>Sexual Abuse and Sexual Harassment</i> training. 6. Required the population to complete additional education. 7. Distributed PREA posters throughout the facility. 8. Distributed information on ways to report for population. 9. Distributed first responder cards to all staff. 10. Discussed PREA related topics in shift line-ups. 11. Conducted town hall meetings with population to discuss prevention.
Pasquotank CI	2	1. Discussed PREA related topics in shift line-ups. 2. Held a facility management meeting to discuss ways to improve general sexual safety in the facility.



Facility	# Substantiated Cases	Corrective Action(s)
		<ol style="list-style-type: none"> 3. After Post Incident Review of this case, staff were advised to maintain accountability of all inmates leaving the units going to medical. 4. Staff referred for prosecution.
Piedmont CI	1	<ol style="list-style-type: none"> 1. Made upgrades to technology (such as mirrors, motion lights, or cameras) in the area in which the incident occurred. 2. Distributed PREA posters throughout the facility. 3. Discussed PREA related topics in shift line-ups. 4. Held a facility management meeting to discuss ways to improve general sexual safety in the facility.
Southern CI	2	<ol style="list-style-type: none"> 1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Made upgrades to the physical structure of the area in which the incident occurred. 3. Reassessed the appropriateness of certain staff in PREA-related roles. 4. Required all facility staff to complete <i>Staff and Offender Relationship and/or Sexual Abuse and Sexual Harassment</i> training. 5. Required the population to complete additional education. 6. Distributed PREA posters throughout the facility. 7. Made changes to bed, job, and/or program assignment protocols. 8. Distributed information on ways to report for population. 9. Distributed first responder cards to all staff. 10. Discussed PREA related topics in shift line-ups. 11. Conducted town hall meetings with population to discuss prevention. 12. Made revisions to facility policies or procedures. 13. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. 14. Conducted a sexual safety assessment.
Tabor CI	1	<ol style="list-style-type: none"> 1. Staff member terminated.
Warren CI	1	<ol style="list-style-type: none"> 1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Made upgrades to the physical structure of the area in which the incident occurred. 3. Made upgrades to technology (such as mirrors, motion lights, or cameras) in the area in which the incident occurred. 4. Made changes to the number and placement of supervisory staff in the area in which the incident occurred. 5. Reassessed the appropriateness of certain staff in PREA-related roles. 6. Required all facility staff to complete <i>Staff and Offender Relationship and/or Sexual Abuse and Sexual Harassment</i> training. 7. Required the population to complete additional education. 8. Distributed PREA posters throughout the facility. 9. Made changes to bed, job, and/or program assignments protocols. 10. Distributed information on ways to report for population. 11. Distributed first responder cards to all staff. 12. Discussed PREA related topics in shift line-ups.



Facility	# Substantiated Cases	Corrective Action(s)
		13. Conducted town hall meetings with population to discuss prevention. 14. Made revisions to facility policies or procedures. 15. Made changes to medical and/or mental health protocols or responses. 16. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. 17. Conducted a sexual safety assessment.

Table 7: 2015 Adult Correction Facilities with Substantiated Cases and Corrective Actions as of 02/17/2018

2015 Adult Facilities with No Substantiated Cases of Sexual Abuse

Alexander CI	Forsyth CC	Pender CI
Avery Mitchell CI	Gaston CC	Polk CI
Caldwell CC	Greene CI	Randolph CC
Caledonia CI	Hoke CI	Rutherford CC
Carteret CC	Johnston CI	Sampson CI
Caswell CC	Lanesboro CI	Sanford CC
Catawba CC	Lincoln CC	Scotland CI
Columbus CC	Marion CI	Swannanoa CCFW
Craggy CC	Neuse CI	Tyrrell PWF
Dan River PWF	Odom CI	Wake CC
Davidson CC	Orange CC	Wilkes CC
Foothills CI	Pamlico CI	

Table 8: 2015 Adult Correction Facilities with No Substantiated Cases of Sexual Abuse as of 02/17/2018

2015 Juvenile Justice Facilities: Substantiated Sexual Abuse Cases with Corrective Actions

Facility	# Substantiated Cases	Corrective Action(s)
Chatham YDC	2	1. Made changes to the number and placement of supervisory staff in the area in which the incident occurred. 2. Reassessed the appropriateness of certain staff in PREA-related roles. 3. Required the population to complete additional education. 4. Distributed PREA posters throughout the facility. 5. Distributed information on ways to report for population. 6. Conducted town hall meetings with population to discuss prevention.
Alexander JDC	1	1. Required the population to complete additional education. 2. Distributed PREA posters throughout the facility. 3. Discussed PREA related topics in shift line-ups. 4. Discussed with juveniles the seriousness of inappropriate remarks.

Table 9: 2015 Juvenile Justice Facilities with Substantiated Cases and Corrective Actions as of 02/17/2018



2015 Juvenile Justice Facilities with No Substantiated Cases of Sexual Abuse

Cumberland Regional Juvenile Detention Center	Gaston Regional Juvenile Detention Center	Stonewall Jackson
Dobbs Youth Development Center	New Hanover Regional Juvenile Detention Center	Wake Detention
Edgecombe Regional Juvenile Detention Center	Pitt Regional Juvenile Detention Center	

Table 10: 2015 Juvenile Justice Facilities with No Substantiated Cases of Sexual Abuse as of 02/17/2018



2014: The Department's Year in Review

During the 2014 calendar year, NCDPS received a total of **622** inmate and juvenile sexual abuse and sexual harassment allegations. The following outlines the total number of incidents reported and the outcome of investigations in 2014.

2014					
Incident Type	Substantiated	Unsubstantiated	Unfounded	Ongoing	Total
Inmate on Inmate Abusive Sexual Contact	4	41	23	0	68
Inmate on Inmate Nonconsensual Sexual Act	3	17	8	0	28
Inmate on Inmate Sexual Harassment	26	89	26	0	141
Staff on Inmate Sexual Misconduct	19	73	102	0	194
Staff on Inmate Sexual Harassment	8	52	83	0	143
Juvenile on Juvenile Abusive Sexual Contact	0	0	0	0	0
Juvenile on Juvenile Nonconsensual Sexual Act	1	5	3	0	12
Juvenile on Juvenile Sexual Harassment	0	11	8	0	21
Staff on Juvenile Sexual Misconduct	1	1	1	0	6
Staff on Juvenile Sexual Harassment	1	2	5	0	9
Total Allegations Received					622

Table 11: 2014 Incident Reports and Outcomes as of 02/17/2018

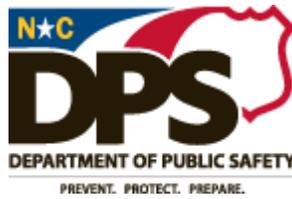


2014 Adult Correction Facilities: Substantiated Sexual Abuse Cases With Corrective Actions

Facility	# Substantiated Cases	Corrective Action(s)
Bertie CI	2	<ol style="list-style-type: none"> 1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Made upgrades to the physical structure of the area in which the incident occurred. 3. Made changes to the number and placement of supervisory staff in the area in which the incident occurred. 4. Distributed PREA posters throughout the facility. 5. Distributed information on ways to report for population. 6. Distributed first responder cards to all staff. 7. Discussed PREA related topics in shift line-ups. 8. Made revisions to facility policies or procedures. 9. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. 10. Implemented procedures where staff is not allowed alone in confined spaces with inmates. If staff have to go in a confined space there will be two staff, one being the same sex as the inmates. 11. Replaced lock on the door where the Sergeant only has the key and it is only one entrance to the area in which the incident occurred. 12. Implementation of Management Involvement in Career Services (MICS) which provides newly hired staff an additional 120 hours of training including shadowing facility management. This program was developed to reduce undue familiarity incidents and increase employee tenure. 13. Modified staffing in area in which incident occurred to ensure that there are always two (2) certified staff in the area at all times. 14. Unit in which the incident occurred is to only have certified staff assigned, there will not be any newly hired staff placed on that unit. 15. Staff referred for prosecution.
Brown Creek CI	2	<ol style="list-style-type: none"> 1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Reassess the appropriateness of certain staff in PREA-related roles. 3. Required all facility staff to complete <i>Staff and Offender Relationship</i> and/or <i>Sexual Abuse and Sexual Harassment</i> training. 4. Distributed PREA posters throughout the facility. 5. Distributed first responder cards to all staff. 6. Discussed PREA related topics in shift line-ups. 7. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. 8. Conducted a sexual safety assessment.
Craggy CC	2	<ol style="list-style-type: none"> 1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Required all facility staff to complete <i>Staff and Offender Relationship</i> and/or <i>Sexual Abuse and Sexual Harassment</i> training. 3. Distributed PREA posters throughout the facility. 4. Made changes to bed, job, and/or program assignments protocols.



		<p>5. Discussed PREA related topics in shift line-ups. Note: Staff resigned after completion of investigation.</p>
Dan River Prison Work Farm	1	<p>1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Discussed PREA related topics in shift line-ups. 3. Staff were advised to conduct more rounds in this area to ensure offenders did not congregate in front of the barbershop. 4. Line staff were further advised to continue to cover this information in line-up as a preventative measure.</p>
Foothills CI	1	<p>1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Made upgrades to technology (such as mirrors, motion lights, or cameras) in the area in which the incident occurred (equipment ordered). 3. Made changes to the number and placement of supervisory staff in the area in which the incident occurred. 4. Discussed PREA related topics in shift line-ups. 5. Made revisions to facility policies or procedures. 6. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. Note: Staff resigned prior to completion of investigation.</p>
Greene CI	1	<p>1. Made upgrades to technology (such as mirrors, motion lights, or cameras) in the area in which the incident occurred. 2. Distributed information on ways to report for population. 3. Discussed PREA related topics in shift line-ups. 4. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. Note: Staff resigned prior to completion of investigation.</p>
Johnston CI	1	<p>1. Made upgrades to technology (such as mirrors, motion lights, or cameras) in the area in which the incident occurred. 2. Staff resigned prior to completion of investigation.</p>
Lumberton CI	1	<p>1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Distributed PREA posters throughout the facility. 3. Distributed information on ways to report for population. 4. Discussed PREA related topics in shift line-ups. 5. Held a facility management meeting to discuss ways to improve general sexual safety in the facility.</p>
NC Correctional Institution for Women	3	<p>1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Made upgrades to technology (such as mirrors, motion lights, or cameras) in the area in which the incident occurred. 3. Required all facility staff to complete <i>Staff and Offender Relationship and/or Sexual Abuse and Sexual Harassment</i> training. 4. Required the population to complete additional education. 5. Distributed PREA posters throughout the facility. 6. Distributed information on ways to report for population. 7. Conducted town hall meetings with population to discuss prevention. 8. Conducted a sexual safety assessment. 9. Facility Standard Operating Procedures are currently being updated.</p>



		10. In process of devising First Responder Cards for distribution to all employees. Note: Staff resigned after completion of investigation.
Pamlico CI	1	<ol style="list-style-type: none"> 1. Distributed PREA posters throughout the facility. 2. Distributed information on ways to report for population. 3. Distributed first responder cards to all staff. 4. Discussed PREA related topics in shift line-ups. 5. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. 6. Incorporated the use of Division Phone Investigators to assist with investigations, the assistance received during the investigation was substantial and provided undeniable evidence. This resource is essential during staff involved investigations. 7. Staff referred for prosecution.
Pasquotank CI	1	<ol style="list-style-type: none"> 1. Discussed PREA related topics in shift line-ups. 2. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. 3. After Post Incident Review of this case, staff were advised to maintain accountability of all inmates leaving the units going to medical. 4. Staff referred for prosecution.
Piedmont CI	2	<ol style="list-style-type: none"> 1. Made upgrades to technology (such as mirrors, motion lights, or cameras) in the area in which the incident occurred. 2. Distributed PREA posters throughout the facility. 3. Discussed PREA related topics in shift line-ups. 4. Conducted a sexual safety assessment.
Polk CI	5	<ol style="list-style-type: none"> 1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Required all facility staff to complete <i>Staff and Offender Relationship and/or Sexual Abuse and Sexual Harassment</i> training. 3. Distributed PREA posters throughout the facility. 4. Made changes to bed, job, and/or program assignments protocols. 5. Distributed information on ways to report for population. 6. Distributed first responder cards to all staff. 7. Discussed PREA related topics in shift line-ups. 8. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. 9. We have requested cameras through our PIR process. Cameras are needed in common areas such as hallway and horseshoe areas of dormitories. Staff are orientated on PREA during employee orientation. <p>Note: Staff resigned either prior to, or after, completion of investigation.</p>
Sampson CI	1	<ol style="list-style-type: none"> 1. Held a facility management meeting to discuss ways to improve general sexual safety in the facility. 2. No other action taken due to the employee resigning from her position as correctional officer. All evidence was turned over to local law enforcement. <p>Note: Staff resigned prior to completion of investigation.</p>
Swannanoa Correctional for Women	1	<ol style="list-style-type: none"> 1. Required all facility staff to complete <i>Staff and Offender Relationship and/or Sexual Abuse and Sexual Harassment</i> training. 2. Distributed PREA posters throughout the facility. 3. Discussed PREA related topics in shift line-ups.



Wake CC	1	1. Administered corrective actions commiserate with the offense committed, to include dismissal when necessary.
----------------	---	---

Table 12: 2014 Adult Correction Facilities with Substantiated Cases and Corrective Actions as of 02/17/2018

2014 Juvenile Justice Facilities: Substantiated Sexual Abuse Cases With Corrective Actions

Facility	# Substantiated Cases	Corrective Action(s)
Chatham YDC	2	<ol style="list-style-type: none"> 1. Implemented additional routine or unannounced rounds in an effort to prevent future incidents. 2. Made changes to the number and placement of supervisory staff in the area in which the incident occurred. 3. Discussed PREA related topics in shift line-ups. 4. Conducted town hall meetings with population to discuss prevention. 5. Instructed all juveniles that they are not to participate in a game of "Truth or Dare". Discussed prevention with all youth counselors, Associates, and Night Monitors; emphasized diligent supervision and oversight. Instructed that there should always be at least one staff member on the floor, patrolling the dayroom areas.
Dobbs YDC	1	<ol style="list-style-type: none"> 1. Administered corrective actions commiserate with the offense committed, to include dismissal when necessary.

Table 13: 2014 Juvenile Justice Facilities with Substantiated Cases and Corrective Actions as of 02/17/2018

Adult Correction: Comparison of Substantiated Sexual Abuse and Sexual Harassment Cases

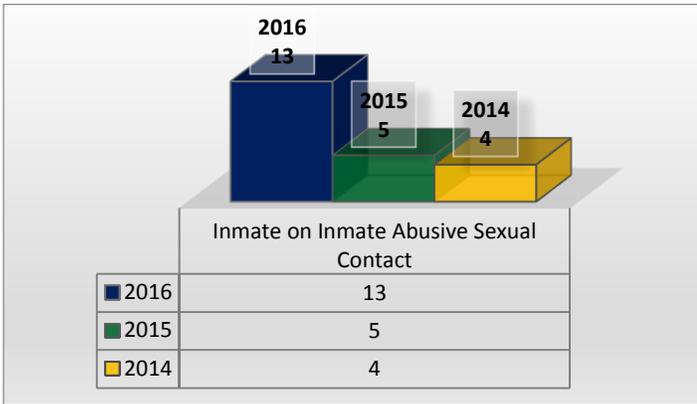


Figure 1: Inmate on Inmate Abusive Sexual Contact as of 02/17/2018

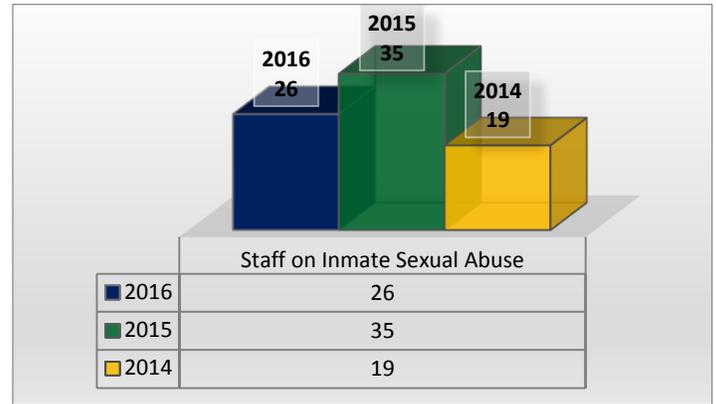


Figure 4: Staff on Inmate Sexual Abuse as of 02/17/2018

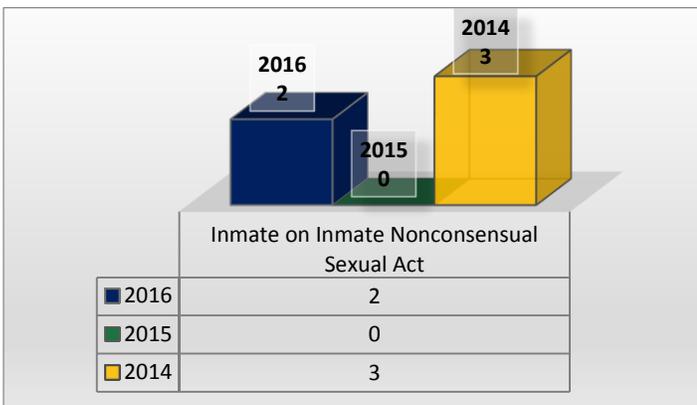


Figure 2: Inmate on Inmate Nonconsensual Sexual Act as of 02/17/2018

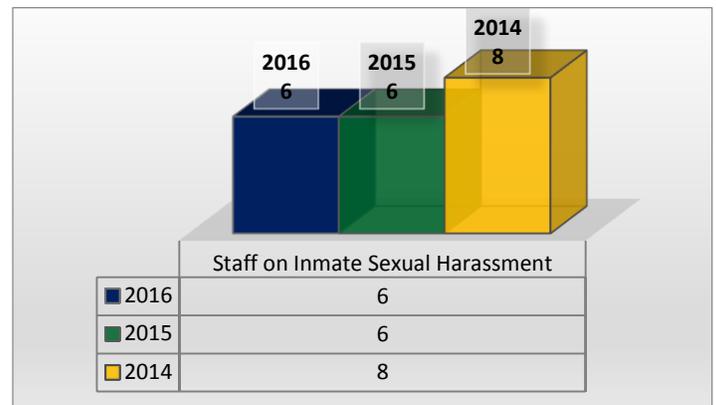


Figure 5: Staff on Inmate Sexual Harassment as of 02/17/2018

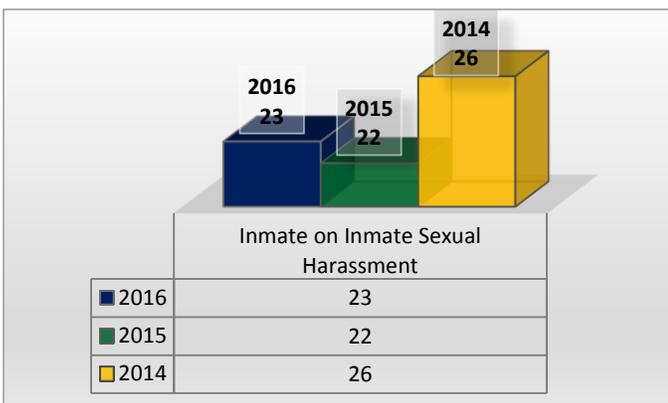


Figure 3: Inmate on Inmate Sexual Harassment as of 02/17/2018

Juvenile Justice: Comparison of Substantiated Sexual Abuse and Sexual Harassment Cases

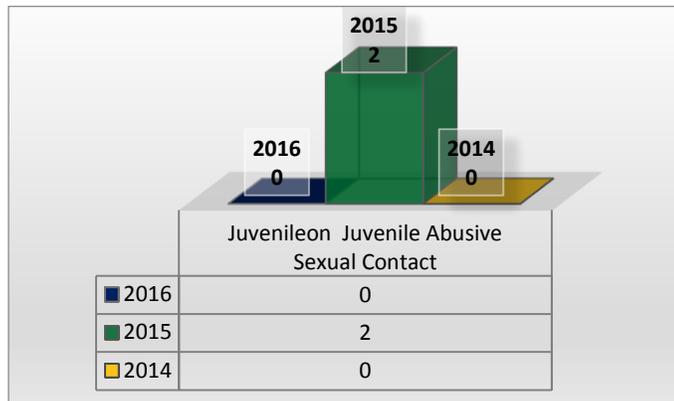


Figure 6: Juvenile on Juvenile Abusive Sexual Contact as of 02/17/2018

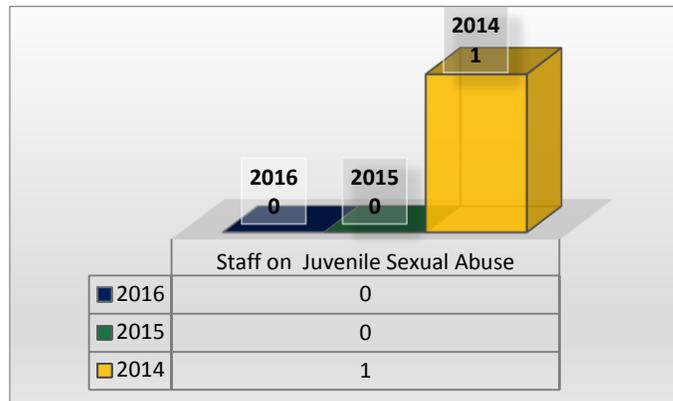


Figure 9: Staff on Juvenile Sexual Abuse as of 02/17/2018

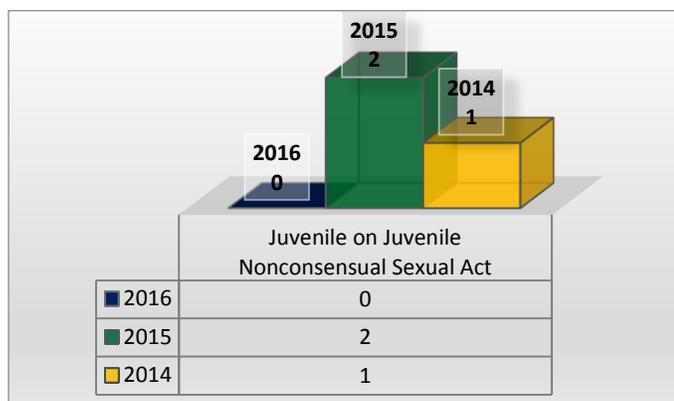


Figure 7: Juvenile on Juvenile Nonconsensual Sexual Act as of 02/17/2018

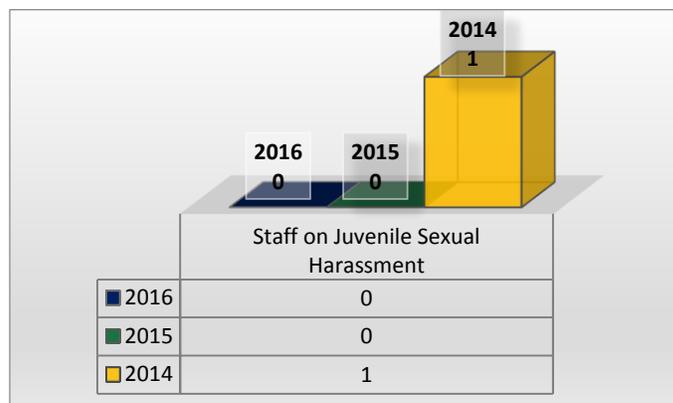


Figure 10: Staff on Juvenile Sexual Harassment as of 02/17/2018

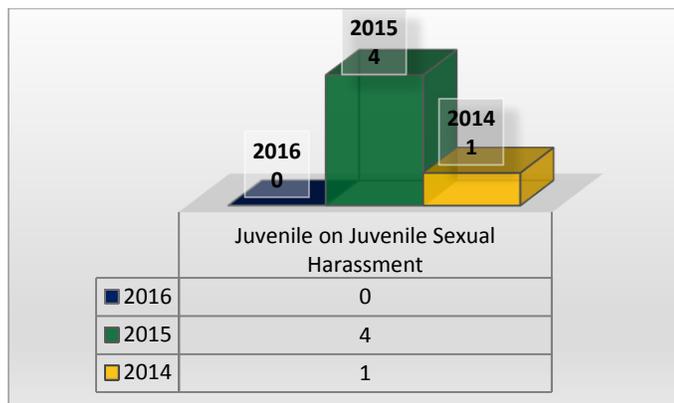


Figure 8: Juvenile on Juvenile Sexual Harassment as of 02/17/2018



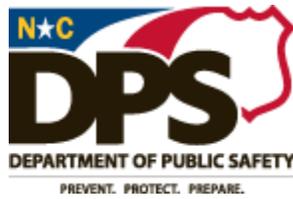
Audit Findings

The North Carolina Department of Public Safety continued its federal compliance audit efforts during calendar years 2015 and 2016. The results of these audits are available on the agency's website. The following facilities under the operational control of NCDPS were found to be compliant with PREA standards:

1. Albemarle Correctional Institution
2. Alexander Correctional Institution
3. Alexander Juvenile Detention Center
4. Avery Mitchell Correctional Institution
5. Bertie Correctional Institution
6. Black Mountain Substance Abuse Treatment Center
7. Brown Creek Correctional Institution
8. Burke CRV Center
9. CA Dillon Youth Development Center
10. Cabarrus Juvenile Detention Center
11. Caldwell Correctional Center
12. Caledonia Correctional Institution
13. Carteret Correctional Center
14. Caswell Correctional Center
15. Catawba Correctional Center
16. Central Prison
17. Chatham Youth Development Center
18. Columbus Correctional Center
19. Craggy Correctional Center
20. Craven Correctional Institution
21. Dan River Prison Work Farm
22. DART Cherry
23. Davidson Correctional Center
24. Dobbs Youth Development Center
25. Eastern Correctional Institution
26. Foothills Correctional Institution
27. Forsyth Correction Center
28. Franklin Correctional Center
29. Gaston Correctional Institution
30. Greene Correctional Institution
31. Harnett Correctional Institution
32. Hoke Correctional Institution
33. Hyde Correctional Institution
34. Johnston Correctional Institution



35. Lanesboro Correctional Institution
36. Lincoln Correctional Center
37. Lumberton Correctional Institution
38. Marion Correctional Institution
39. Maury Correctional Institution
40. Morrison Correctional Institution
41. Mountain View Correctional Institution
42. Nash Correctional Institution
43. N.C. Correctional Institution for Women
44. New Hanover Correctional Center
45. New Hanover Regional Juvenile Detention Center
46. Odom Correctional institution
47. Orange Correctional Center
48. Pamlico Correction Institution
49. Pasquotank Correctional Institution
50. Pender Correctional Institution
51. Piedmont Correctional Institution
52. Pitt Regional Juvenile Detention Center
53. Polk Correctional Institution
54. Randolph Correctional Center
55. Robeson CRV
56. Rutherford Correctional Center
57. Sampson Correctional Institution
58. Sanford Correctional Center
59. Scotland Correctional Institution
60. Southern Correctional Institution
61. Stonewall Jackson youth Development Center
62. Swannanoa Correctional Center for Women
63. Tabor Correctional Institution
64. Tyrell Prison Work Farm
65. Wake Correctional Center
66. Wake Regional Juvenile Detention Center
67. Warren Correctional Institutional
68. Wilkes Correctional Center



Conclusion

The 2015 – 2016 annual report speaks to the culture of reporting and zero-tolerance fostered by the North Carolina Department of Public Safety. The Department remains committed to eliminating sexual abuse and sexual harassment through a program of prevention, detection, response, investigation, and data collection and analysis.

The NCDPS is committed to thoroughly, objectively, and promptly investigating every allegation that is reported. As a result of a comprehensive education and awareness program, bolstered by consistent response and investigative plans, the resident and inmate populations are increasingly comfortable reporting allegations and incidents of sexual abuse and sexual harassment. Additionally, a strong training program for staff has resulted in a demonstrated improvement in the zero-tolerance culture and investment in prevention, detection, and response protocols.

The NCDPS is proud of its improvements in culture, of its policies and procedures, and of its dedication to the elimination of sexual abuse and sexual harassment in confinement.



Table of Tables

Table 1: 2016 Incident Reports and Outcomes as of 02/17/2018.....	5
Table 2: 2016 Adult Correction Facilities with Substantiated Cases and Corrective Actions as of 02/17/2018.....	10
Table 3: 2016 Adult Correction Facilities with No Substantiated Cases of Sexual Abuse as of 02/17/2018.....	11
Table 4: 2016 Juvenile Justice Facilities with Substantiated Cases and Corrective Actions	11
Table 5: 2016 Juvenile Justice Facilities with No Substantiated Cases of Sexual Abuse as of 02/17/2018.....	11
Table 6: 2015 Incident Reports and Outcomes as of 02/17/2018.....	12
Table 7: 2015 Adult Correction Facilities with Substantiated Cases and Corrective Actions as of 02/17/2018.....	17
Table 8: 2015 Adult Correction Facilities with No Substantiated Cases of Sexual Abuse as of 02/17/2018.....	17
Table 9: 2015 Juvenile Justice Facilities with Substantiated Cases and Corrective Actions as of 02/17/2018 ..	17
Table 10: 2015 Juvenile Justice Facilities with No Substantiated Cases of Sexual Abuse as of 02/17/2018.....	18
Table 11: 2014 Incident Reports and Outcomes as of 02/17/2018.....	19
Table 12: 2014 Adult Correction Facilities with Substantiated Cases and Corrective Actions as of 02/17/2018	23
Table 13: 2014 Juvenile Justice Facilities with Substantiated Cases and Corrective Actions as of 02/17/2018	23

Table of Figures

Figure 1: Inmate on Inmate Abusive Sexual Contact as of 02/17/2018	24
Figure 2: Inmate on Inmate Nonconsensual Sexual Act as of 02/17/2018	24
Figure 3: Inmate on Inmate Sexual Harassment as of 02/17/2018	24
Figure 4: Staff on Inmate Sexual Abuse as of 02/17/2018	24
Figure 5: Staff on Inmate Sexual Harassment as of 02/17/2018	24
Figure 6: Juvenile on Juvenile Abusive Sexual Contact as of 02/17/2018	25
Figure 7: Juvenile on Juvenile Nonconsensual Sexual Act as of 02/17/2018	25
Figure 8: Juvenile on Juvenile Sexual Harassment as of 02/17/2018	25
Figure 9: Staff on Juvenile Sexual Abuse as of 02/17/2018.....	25
Figure 10: Staff on Juvenile Sexual Harassment as of 02/17/2018.....	25



For more information on this annual report, contact the PREA Office at:

Agency Information

North Carolina Department of Public Safety

PREA Office

4201 Mail Service Center
Raleigh, NC 27699-4201

Telephone: (919) 825-2754

Website: www.ncdps.gov

Email: PREA@ncdps.gov

